

Investment Series Withdrawal Request - Individual/Joint



If you would like help in completing this form, please email enquiries@fisherfunds.co.nz or phone us on **0508 FISHER (0508 347 437)**.

You can complete this form on-screen by typing directly into each field. Once you have completed this form:

- If you have selected Option 1 as your preferred identification method you can email your completed withdrawal request to enquiries@fisherfunds.co.nz
- If you have selected Option 2 as your preferred identification method you must post your withdrawal request and supporting documents to **Fisher Funds Management Limited, Private Bag 93502, Takapuna, Auckland 0740** or send by courier to **Fisher Funds Management Limited, Level 1 Crown Centre, 67-73 Hurstmere Road, Takapuna, Auckland 0622**.

Who should complete this form?

Please use this form to apply for a withdrawal from your Fisher Funds Investment Series account. You can select a full, partial or regular withdrawal. If you wish to request both a partial and regular withdrawal, you can select both options on this form.

If your account is in the name of an entity (e.g. trust, company, partnership or incorporated society) please complete the Investment Series Entity withdrawal request form. This can be located at fisherfunds.co.nz/forms

Section 1: Account Details

Fisher Funds Account Number

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Investor 1

Title	First Name(s)
<input type="text"/>	<input type="text"/>

Surname
<input type="text"/>

IRD Number

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Prescribed Investor Rate (PIR) (please tick one)

<input type="checkbox"/>	10.5%	<input type="checkbox"/>	17.5%	<input type="checkbox"/>	28%
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Investor 2

Title	First Name(s)
<input type="text"/>	<input type="text"/>

Surname
<input type="text"/>

IRD Number

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Prescribed Investor Rate (PIR) (please tick one)

<input type="checkbox"/>	10.5%	<input type="checkbox"/>	17.5%	<input type="checkbox"/>	28%
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For a joint application we will use the highest PIR provided by the applicants.

To work out your PIR, or for more information, visit fisherfunds.co.nz/pircalculator or call us on 0508 347 437.

If your selected PIR differs to the rate we have on file, the change will require one business day to update before your withdrawal can be processed. If you do not select a PIR, your withdrawal will be processed at the rate we hold on file for this account.

Contact Phone
<input type="text"/>

Email Address
<input type="text"/>

Address
<input type="text"/>

City
<input type="text"/>

Country	Postcode
<input type="text"/>	<input type="text"/>

Contact Phone
<input type="text"/>

Email Address
<input type="text"/>

Address
<input type="text"/>

City
<input type="text"/>

Country	Postcode
<input type="text"/>	<input type="text"/>

Section 2: Identification Options

Your withdrawal request must be submitted with one of the identification options set out below (for each account holder). We may have your identification documents on file, please contact us to confirm this. We may need to request new identification documents from you.

Option 1 – Electronic identity verification

Fisher Funds has the ability to electronically verify your identity and address. Once we have received your withdrawal request we will send you an SMS via our third party partner to biometrically verify your identity. To complete this method of verification you must have: a smartphone (with a front camera that is capable of taking a photo/video) and a current (not expired) version of one of the following:

- » New Zealand Passport
- » New Zealand Drivers Licence
- » Australian Passport
- » Australian Drivers Licence

If this method of identification is unsuccessful you will be required to provide certified ID and proof of address.

Option 2 – Certified copies of identity documents and proof of residential address

Please provide a certified copy of your identity documents and proof of your residential address. Refer to Sections 3 and 4 for information on acceptable identity documents and who can certify them.

Investor 1: Preferred identification method — please select one of the following options:

Option 1 – I would like Fisher Funds to electronically verify my identity

Option 2 - I would like to provide Fisher Funds with certified copies of my identity documents

Investor 2: Preferred identification method — please select one of the following options:

Option 1 – I would like Fisher Funds to electronically verify my identity

Option 2 - I would like to provide Fisher Funds with certified copies of my identity documents

Section 3: Certified Identity Documents

If you selected Option 2 as your preferred way for us to verify your identity please select one of the certified identification options below. If you have selected Option 1 you do not need to provide certified ID now.

We are only able to accept original certified copies of certified ID (i.e. the copy that has been physically certified). These documents must be posted to us, our postal address is on page 1.

OPTION A: (preferred)

Passport (containing name, date of birth, photograph and signature)

OR

New Zealand Firearms Licence

OPTION B:

New Zealand Driver Licence (front and back)

OR

18+ Card/Kiwi Access Card

AND one of the following

Full Birth Certificate

SuperGold Card (front and back)

Bank Statement from a registered bank dated within 12 months

Statement from a government agency dated within 12 months

OPTION C:

New Zealand Driver Licence (front and back)

AND

Valid credit or debit card with embossed name and signature (front and back)

(Do not blank out your card details — we will do this on your behalf once the application has been processed)

Your photo identification must be current (not expired). If you're unable to provide the above documentation please contact us to discuss other options.

A Fisher Funds representative can verify your identity documents if you visit our office.

How to have your ID correctly certified



I certify this to be a true copy of the original document and confirm it represents the identity of Joe Smith.

Name: *Jane Doe*
 Occupation: *Justice of the Peace*
 Date: *18/08/2020*
 Signature: *[Signature]*

Photocopy ID at 150%

So the details are legible.

Please do not send in your physical identity documents e.g. passport, driver's licence, birth certificate etc.

Please note: Certification is valid for three months and must have been carried out within three months of this application.

Your identity documents must be certified by one of the following people: Justice of the Peace, Registered Lawyer, Chartered Accountant, Registered Teacher, Registered Doctor, Police Officer, Notary Public, Registrar/Deputy Registrar.

Section 4: Proof of Address

If you have selected Option 2 as your preferred identification method please provide proof of your residential address as set out below. Your proof of address doesn't have to be certified. If you selected Option 1 you do not need to provide proof of address.

Current physical address

Can't be a PO Box number.



Dated in the last 12 months, an invoice, statement, letter or contract from:

- » utility provider e.g. water, power, phone
- » professionals e.g. accountant, doctor
- » service providers e.g. Sky TV, insurance
- » government e.g. IRD, WINZ, rates notice
- » current employer e.g. payslip
- » bank correspondence or statement
- » tenancy agreement

Please note: We cannot accept a statement/correspondence from Fisher Funds as proof of your address.

Section 6: Withdrawal Options (continued)

Regular Withdrawal

Set up a regular withdrawal from the account as outlined below (please note the minimum withdrawal amount is \$100)*

Name of Fund/s	Amount of withdrawal*	
CashPlus Fund	<input type="text"/>	units or \$ <input type="text"/>
New Zealand Fixed Income Trust	<input type="text"/>	units or \$ <input type="text"/>
BondPlus Fund	<input type="text"/>	units or \$ <input type="text"/>
Multi Sector Fund	<input type="text"/>	units or \$ <input type="text"/>
Trans Tasman Equity Trust	<input type="text"/>	units or \$ <input type="text"/>
Global Fund	<input type="text"/>	units or \$ <input type="text"/>
Total	<input type="text"/>	units or \$ <input type="text"/>

*Regular withdrawals can only be paid into a New Zealand bank account.

Start Date

 / /

Frequency

Weekly

Fortnightly

Monthly

Quarterly

Annually

*Please note this is the date your withdrawal will be priced, it will then be paid 3-5 working days after this date. Please bear this in mind if you want to receive the funds by a certain date.

Section 7: Declaration

I/we agree that Fisher Funds Management Limited and the Supervisor (and related entities of either) may collect and use the information set out in (or in connection with) this form for the purpose for which it is provided. Additionally, they may use that information to promote to me/us other products and services. Fisher Funds will provide me/us (on request) with the name and address of any entity to which information has been disclosed. If I/we do not provide the information required by this form, Fisher Funds and/or the Supervisor may not be able to process my/our request. I/we have the right to access all personal information held about me/us. If any of the information is incorrect, I/we have the right to have it corrected. The information set out in this form will be collected and held by Fisher Funds Management Limited and Trustees Executors Limited whose respective addresses are Level 1, Crown Centre, 67-73 Hurstmere Road, Takapuna, Auckland 0622 and Level 5, 10 Customhouse Quay, Wellington 6140.

I/we hereby request the following withdrawal to my/our investment in accordance with the provisions of the Fisher Funds Investment Series product disclosure statement and Governing Document.

Name

Signature

Date

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Name

Signature

Date

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